NĀMĀRŪPA

Publishers & Founding Editors ROBERT MOSES & EDDIE STERN Advisors

> Dr. Robert E. Svoboda Meenakshi Moses Jocelyne Stern Editors & Proofreaders Meenakshi Moses Lida Stinchfield Hannah Stephenson

Design & Production
ROBERT MOSES
EDDIE STERN
Diacritic Editor
PAUL SHERBOW
Circulation & Distribution

Youngblood Roche
Assistance from
John & Anne Abbott
Stephan Crasneanscki
Deborah Harada

Darren Keith

Mebsite Development

Kendal Kelly

NĀMARŪPA, Categories of Indian Thought is a journal that seeks to record, illustrate, and honor, as well as comment on, the many systems of knowledge, practical and theoretical, that have originated in India. Passed down through the ages, these systems have left tracks, paths already traveled that can guide us back to the Self—the source of all names [NĀMA] and forms [RŪPA].

NĀMARŪPA seeks to present articles that shed light on the incredible array of DARŚAŅAS, YOGAS, and VIDYĀS that have evolved over thousands of years in India's creatively spiritual minds and hearts. The publishers have created this journal out of a love for the knowledge that it reflects, and desire that its content be presented clearly and inspirationally, but without any particular agenda or sectarian bias. The aim is to permit contributors to present offerings that accurately represent their own traditions, without endorsement or condemnation. Each traditional perspective on reality is like a different branch on a vast tree of knowledge, offering diverse fruits to the discerning reader.

Though NĀMARŪPA begins life as a tender sprout, it will, as it grows, offer shade, shelter and sustenance to its readers and contributors alike, it is hoped. Now, though, it needs nurturing with articles, images, ideas and contributions. We invite you to support us in any way that you can. www.namarupa.org

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NAMARUPA uses diacritical marks, as per the chart shown to the right, for the transliteration of all Samskṛta words. While many of the articles do contain these marks, it is not a universal occurrence in the magazine. In those cases where authors have elected not to use diacritics, Samskṛta words remain in their simple, romanized form.

3 ŗ 郛. ल् औ au am aḥ अ: ka kha ग ga gha 'nа ca cha ja jha ña ञ ट

ह • tha ह • da च • dha म • në न • ta थ • tha ह • da ध • dha न • na प • pa फ • pha

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ह्य • śa ष • şa

म • sa

ह • ha ਲ਼ • kṣa

ल • Kọa न्न • tra

ਜ਼ ∙ jña

NAMARUPA

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Cover photo

Giant Durgā near Madurai, Tamil Nadu, South India.

Eddie Stern

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Temple tank, Kapaleeowar Temple, Mylapore, Chemau Tamil Nadu, South India. January 10, 2007.

SAMĀDHIPĀDA: SŪTRAS 5-7[†]

YOGA SŪTRA OF PATAÑJALI & ITS COMMENTARIES

Edwin Bryant's translation of Patañjali's Yoga Sūtras with commentaries contributes to the growing body of literature on classical yoga by providing insights from all traditional Sanskrit commentators on the text.

EDWIN BRYANT

वृत्तयः पञ्चतय्यः क्लिष्टाक्लिष्टाः।

1.5 Vrttayah pańcatayyāh klistāklistāh.

Vṛttayaḥ, the changing states of mind; pañcatayyāḥ, five-fold; kliṣṭa, detrimental, harmful, damaging, afflicted; akliṣṭāḥ, nondetrimental, unafflicted.

There are five kinds of changing states of the mind, and they are either detrimental or nondetrimental [to the practice of yoga].

 ${
m P}_{\it yoga}$ in I.2. As has been noted, the term vṛtti is used frequently throughout the Yoga Sūtras to essentially refer to any sensual impression, thought, idea, or mental cognition, activity, or state whatsoever. Since the mind is never static but always active and changing, vṛttis are constantly being produced, and thus constantly absorb the consciousness of puruşa away from its own pure nature, directing it out into the realm of subtle or gross prakṛti. In I.2, Patańjali defined yoga as the complete cessation of all vrttis whatsoever. Here Patańjali turns his attention to what these vrttis that must be eliminated are. There are five categories of vrttis, which will be discussed in the following verses, and Patañjali indicates that these can be either conducive (at least initially) to the ultimate goal of yoga, or detrimental.

Vyāsa states that the detrimental vṛttis are caused by the five *kleśas*, the impediments to the practice of yoga that will be discussed in II.3—the term

for detrimental here is *kliṣṭa*, which comes from the same verbal root as *kleśa* (*kliś*). These types of mental states are detrimental to the goals of yoga because they are the fertile soil from which the seeds of *karma* sprout. When under the influence of the detrimental vṛttis, the mind becomes attracted or repelled by sense objects drawing its attention. In its attempt to attain that which attracts it and avoid that which repels it, the mind provokes action, karma, which initiates a vicious cycle that will be discussed below.

Karma, from the root kr, to 'do' or 'make,' literally means 'work,' but inherent in the Indic concept of work, or any type of activity, is the notion that every action breeds a reaction. Thus karma refers not only to an initial act, whether benevolent or malicious, but also to the reaction it produces (pleasant or unpleasant in accordance with the original act), which ripens for the actor either in this life or a future one. (Hence, people are born into different socioeconomic situations, and pleasant or unpleasant things happen to them throughout life in accordance with their own previous actions.)

This cycle of action and reaction, or *samsāra*, is potentially eternal and unlimited since not only does any one single act breed a reaction, but the actor must then react to this reaction causing a re-reaction, which in term fructifies and provokes re-re-reactions, and so on *ad infinitum*. Thus, since the vicious cycle of action and reaction for just one solitary momentary act is potentially unlimited, and since one has to act at

every moment of one's life (even blinking or breathing is an act), the storehouse of karma is literally unlimited. Since these reactions and re-reactions, etc., cannot possibly be fitted into one life, they spill over from one lifetime into the next. It is in an attempt to portray the sheer unlimited and eternal productive power of karma that Indic thinkers, both Hindu and Buddhist, use such metaphors as 'the ocean' of birth and death. Thus karma, which keeps consciousness bound to the external world and forgetful of its own nature, is generated by the detrimental vrttis, and the vrttis, in turn, are produced by the kleśas, which will be discussed further in the next chapter.

The nondetrimental mental vrttis, on the other hand, are produced by the sattvic faculty of discrimination that seeks to control the influence of rajas and tamas and thereby the detrimental vṛttis that they produce. Vyāsa notes that this type of vrtti is beneficial even if situated in a stream of detrimental vrttis.1 In other words, for the novice struggling to control his or her mind, even if the emergence of sattva occurs only periodically, it is always a beneficial occurrence, and it can be gradually increased and strengthened by a yogic lifestyle. The reverse also holds true, adds Vyāsa: detrimental vṛttis can also surface periodically in a predominantly sattvic citta (hence the Gītā's statement in II.60 that the senses can carry away the mind even of a man of discrimination).

Vacaspatimisra mentions activities such as the practice of yoga and the cultivation of desirelessness born from

[†]Edwin Bryant's treatment of Sūtras 1-4 can be found in Issues 1 and 2 of *Nāmarūpa* magazine.

¹Just as a *brāhmaṇa* living in the village of Śala, which is full of Kirāṭas, says Vācaspatimiśra, does not become a Kirāṭa. Kirāṭa were a tribe living in the east of India.

the study of scripture as non-detrimental, that is, mental activities beneficial to the goal of yoga. These actions, like any actions, produce seeds of reactions, samskāras (discussed further below), but these seeds are sattvic and beneficial to the path of yoga and the ultimate goal of samadhi. In time, and with practice, these seeds accumulate such that they eventually transform the nature of the mind. The mind then becomes more and more sattvic, or illuminated and contemplative, such that the beneficial vrttis eventually suppress any stirrings of rajas and tamas—the detrimental vrttis-automatically, until the later remain only as inactive potencies. When the citta manifests its pure sattva potential, it becomes "like" the atman, says Vyāsa. By this he intends that it no longer binds the puruşa to prakṛti, the world of samsāra, but reflects puruşa in an undistorted fashion, allowing it to contemplate its true nature as per the mirror analogy outlined in the previous commentary. Rāmānanda Sarasvatī notes here that essentially, the citta mind is nothing but samskāras, mental imprints or impressions (not to be confused with samsara, the cycle of birth and death). Samskāras are a very important feature of yoga psychology: every sensual experience or mental thought that has ever been experienced forms a samskāra, an imprint, in the citta mind. The mind is thus a storehouse of these recorded samskaras, deposited and accumulated in the citta over countless lifetimes. Vyāsa notes that there is thus a cycle of vṛttis and samskāras: vṛttis, that is sense experiences and thoughts, etc. (and their consequent actions) are recorded in the citta as samskāras, and these samskaras eventually activate consciously or subliminally producing further vrttis. These vrttis then provoke the action and reaction noted above. which in turn are recorded as samskaras, and the cycle continues.

Memories, in Hindu psychology, are considered to be vivid samskāras from this lifetime, which are retrievable, while the notion of the subconscious in Western psychology corresponds to other, less retrievable samskāras, perhaps from previous lives, which

remain latent as subliminal impressions. Samskāras also account for such things as personality traits, habits, compulsive and addictive behaviors, etc. For example, a particular type of experience, say smoking a cigarette, is imprinted in the citta as a samskāra, which then activates as a desirable memory or impulse provoking a repetition of this activity which is likewise recorded, and so on, until a cluster or grove of samskāras of an identical or similar sort is produced in the citta, gaining strength with each repetition. The stronger or more dominant such a cluster of samskāras becomes, the more it activates and imposes itself upon the consciousness of the individual, demanding indulgence and perpetuating a vicious cycle that can be very hard to break. The kleśas, vṛttis, samskāras, and karma are thus all interconnected links in the chain of samsāra.

Through the practice of yoga, the yogī attempts to supplant all the rajasic and tamasic samskaras with sattvic ones until these, too, are restricted in the higher states of trance. This is because while sattvic samskāras, the nondetrimental vrttis mentioned by Patańjali in this verse, are conducive to liberation, they nonetheless are still vṛttis and thus an external distraction to the pure consciousness of the atman. Of course, as Vijñānabhiksu points out, all vrttis, including sattvic ones, are ultimately detrimental from the absolute perspective of the purusa, as they bind consciousness to the world of matter. So the notions of detrimental and nondetrimental are from the perspective of samsāra; the detrimental (rajasic and tamasic) vrttis cause pain, and the nondetrimental (sattvic) ones at least lead in the direction of liberation, even though they too must eventually be given up. The phenomenon of non-detrimental vrttis eventually undertaking their own elimination will be discussed more fully later on, but Vijñānabhikşu quotes the Bhāgavata Purāṇa here to make the point: "Other things [i.e., the obstacles to yoga] must be eliminated by sattva, and sattva is eliminated by sattva" (XI.25.20).

प्रमाणविपर्ययविकल्पनिद्रास्मृतयः।

1.6 Pramāṇaviparyayavikalpanidrā-smṛtayaḥ.

Pramāṇa, epistemology, source of valid proof, right knowledge; viparyaya, error; vikalpa, imagination, fancy; nidrā, sleep; smṛṭayaḥ, memory.

The five changing states of the mind are right knowledge, error, imagination, sleep, and memory.

Patańjali here begins his definition of what these vitis, which bind the purusa to the world of samsāra, are. He lists five distinct types of vitis. What this means, then, is that, in essence, the human mind finds itself in one of these five states at any given moment. In other words, all possible mental states that can be experienced are categorized by the yoga tradition as manifestations of one of these five types of vitis. The commentators reserve their comments for the ensuing verses, which explain each of these items in turn.

प्रत्यक्षानुमानागमाः प्रमाणानि ।

1.7 Pratyakṣānumānāgamāḥ pramāṇāni.

Pratyakṣa, sense perception; anumāna, inference, logic; āgamāḥ, testimony, verbal communication; pramāṇāni.

Right knowledge consists of sense perception, logic, and verbal testimony.

The first of the five vritis to be discussed is pramāṇa, viz, epistemology, that is, what constitutes valid knowledge of an object. Philosophy and, of course, science—sāṃkhya, after all, sees itself as dealing with physical reality—have as their goals the attainment of knowledge about reality, so it is standard in Hindu philosophical discourse for thinkers to state what methods of attaining such knowledge of reality they accept as valid. The Yoga School accepts three sources of receiving knowledge as valid, as does the Sāṃkhya tradition (Sāṃkhya Kārikā IV; but other

² The extra pramāṇas posited by other schools are considered by the Yoga school to be variants of the pramāṇas mentioned here.

philosophical schools accept differing numbers from one to six2). The first method of attaining knowledge listed by Patañjali is sense perception: we can know something to be true or valid if we experience it through one or more of our senses-if we see it, smell it, touch it, hear it, or taste it. Śańkara notes that sense perception is placed first on the list of pramanas because the other pramanas are dependent on it, as will be seen below (indeed, some philosophical schools such as that stemming from the materialist Carvaka accept sense perception as the only pramana, arguing that the other means of knowledge are derived from it).

Vyāsa explains sense perception as being the state or condition of the mind, vrtti, which apprehends both the specific and generic nature of an external object through the channels of the five senses.³ The "generic" and "specific" nature of objects are categories especially associated with one of the other six schools of Hindu philosophy noted earlier, the Vaiśeşika School, and are technical ways of attempting to analyze physical reality. The generic nature of a dog that one might happen to come upon, for example, is that it belongs to the canine species; the specific nature is that which demarcates it from other members of this generic category, that it is, say, a ginger Irish terrier (technically speaking, viseşa is what differentiates ultimate entities such as the smallest particles of matter from each other, but Vyāsa is using the term in a looser sense4). When one sees a particular dog, the mind typically apprehends both its generic and specific natures. This apprehension is accomplished by the senses encountering a sense object and relaying an impression of the object to the citta mind, which forms a vṛtti, or impression, of the object. The puruşa soul then becomes conscious of this

mental impression, as if it were taking place within itself, indistinguishable from itself. In actual fact, the impression is imprinted on the citta mind.

Vācaspatimiśra raises a question here. If the impression is imprinted on the mind, which, according to the metaphysics of yoga, is a totally separate entity from the purusa soul, then how is it that the latter is aware of it? (Or. as he puts it, if an axe cuts a khadira tree, it is not a plaksa tree that is thereby cut). In other words, if an impression is something that is made on the mind, then how does it end up being made on the purușa? Here again, Vācaspatimiśra introduces the analogy of the mirror. It is the mind and intelligence that take the form of the object as a result of sense perception, not the soul. According to the "reflection" model of awareness, consciousness is reflected in the intelligence due to proximity and then misidentifies itself with the reflection. This reflection, in turn, is altered according to the form assumed by the intelligence—just as a reflection appears dirty if the mirror is dirty. Thus, since the mind and intelligence have taken the form of the object in question, consciousness sees its own reflection as containing that form. This corresponds to the analogy of the moon appearing rippled when reflected in rippling water. According to the "non-reflection" model, awareness simply pervades the citta just as it pervades the body, misidentifying with the forms of citta in the same way it misidentifies with the form of the body. According to either understanding, it is this misidentification of the awareness of purusa with the forms of the intellect that is the essence of ignorance.

Moving on to the second pramāṇa, source of receiving valid knowledge, mentioned by Patañjali in this verse, Vyāsa defines logic (inference) as the assumption that an object of a

particular category shares the same qualities as other objects in the same category—qualities that are not shared by objects in different categories. He gives the example of the moon and stars, which belong to the category of moving objects because they are seen to move, but mountains belong to a category of immobile objects, because they have never been seen to move. Thus, if one sees an unfamiliar mountain or hill, one can infer that it will not move, because other known objects in this category, that is, all mountains and hills with which one is familiar, do not move.

The more classic example of inference among Hindu logicians is that fire can be inferred from the presence of smoke. Since wherever there is smoke, there is invariably fire causing it, the presence of fire can be inferred upon the perception of smoke even if the actual fire itself is not perceived. So one can say with assurance that there must be fire on a distant mountain, even if one cannot actually see the blaze itself, if one sees clouds of smoke billowing forth from it. It is in this regard that inference, anumāna, differs from the first source of knowledge, pratyakşa, sense perception. Pratyakşa requires that one actually see the fire. In anumana the fire itself is not actually seen, its presence is inferred from something else that is perceived, viz, smoke.5 The principle here is that there must always be an absolute and invariable relationship (concomitant), between the thing inferred, viz, the fire, and the reason upon which the inference is made, viz, the presence of smoke-in other words, wherever there is or has ever been smoke, there must at all places and at all times always be or have been fire present as its cause with no exceptions. If these conditions are met, the inference is accepted as a valid source of knowledge (if exceptions to the rule can be found, i.e., instances

³ The five senses are hearing, sight, smell, taste, and touch.

⁴ In Vaisesika, all manifest reality can be broken down into seven basic categories, one of which is "substance." There are nine different types of substances, the minutest particles of earth, water, fire, gas, and ether (matter, liquids, energy, gas, space), the mind, the soul, time, and space. The "specific" aspect of one of these substances (viseşa, from which the school gets its name) is that which distinguishes one substance from another, which keeps particles, for example, separate and individual such that one can differentiate between one molecule of earth and another, or between one soul and another.

⁵ Some schools of thought, however, hold that anumana is not a separate source of knowledge because it is predicated on sense perception—the smoke is seen, even if the fire is not—and thus it is a variant of pratyakṣa rather than an independent source of knowledge.

of smoke that do not have fire as their cause, then the inference is invalid).

Finally, "verbal testimony," the third source of valid knowledge accepted by Patańjali, is the relaying of accurate information through the medium of words by a "trustworthy" person who has perceived or inferred the existence of an object, to someone who has not. The words of such a reliable authority enter the ear and produce an image, vrtti, in the mind of the hearer that corresponds to the object experienced by the trustworthy person. The person receiving the information in this manner has neither personally experienced nor inferred the existence of the object of knowledge, but valid knowledge of the object is nonetheless achieved, which distinguishes this source of knowledge from the two discussed previously. Vyāsa describes a "trustworthy" person as someone whose statements cannot be contradicted. Vijñānabhikşu adds to this that a reliable or trustworthy person is one who is free from defects such as illusion, laziness, deceit, dullwittedness, and so forth.

The most important category of this source of valid knowledge in the form of verbal testimony is divine scripture. Since scriptures are uttered by trustworthy persons in the form of enlightened sages and divine beings, their status as trustworthy sources of knowledge are especially valuable. In order to elaborate on this, Vācaspatimiśra raises the issue as to how sacred scriptures can be considered valid given that all accurate verbal knowledge must itself originally come either from perception or inference (hence other schools do not even consider them separate sources of knowledge, as mentioned above); but scriptures deal with certain subjects that no human being has either seen or inferred (such as the existence of heavenly realms, etc.).

⁶ It is for this reason that some schools also reject scripture as a valid source of knowledge. Along the same lines as indicated in the previous footnote, such schools hold that scripture, too, is simply an extension or subcategory of pratyaksa, sense perception.

⁷ The focus of the MImāmsā, however, was on the scriptures pertaining to ritual, the Brāhmaṇa texts, as opposed to the mysticophilosophical Upaniṣad texts, that were of interest to the Vedānta.

In response to this, he argues that the truths of scripture have been perceived by God, İśvara; thus divine scripture, too, is based on perception.⁶ And God, quips Rāmānanda Sarasvatī, is surely a trustworthy person!

occasion, such as in his arguments against trustworthy person! certain Buddhist views (IV.14-24), clearly Different schools of thought prioritized almost his entire thrust throughout the different pramanas. As we have seen with Sūtras is on pratyakşa as the Vijñānabhikşu's comments on the first ultimate form of knowledge. verse and elsewhere in the text, the Yoga Anumāna and āgama are School prioritizes pratyakșa, direct forms of knowledge but experience, as the highest pramana. mediate forms, the truths of The Nyāya School prioritizes which are indirect, where anumāna, dedicating itself for the yoga tradition bases centuries to refining categories its claims to authorof logic, and the Vedanta itativeness on direct School, āgama (Vedānta Sūtras personal experience I.1.3), dedicating itself to the (I.49). interpretationandsystematization of the Upanisads and the Vedanta Sūtras derived from them (the Mīmāmsā School, too, prioritized agama, and became especially associated with developing hermeneutics-the methods of scriptural interpretation7). While Patañjali accepts agama as a valid source of knowledge, one can note that he does not quote or even imply a single verse

from scripture in his treatise (in contrast

with the Vedānta Sūtras, which are almost

entirely composed of references from the

Upanişads). While he uses anumāna on